

FOUND

Atty Docket No. 18062R-002132

PTO FAX NO.: 703-308-7722

2814

ATTENTION: Dorothy Dixon  
Office of Initial Patent Examination

FAX COPY RECEIVED

AUG 27 2001


TECHNOLOGY CENTER 2800

**OFFICIAL COMMUNICATION**  
**FOR THE PERSONAL ATTENTION OF****Dorothy Dixon**  
**Office of Initial Patent Examination****CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following **Preliminary Amendment**, in re Application of **John Stephen Smith**, Serial No. **09/877,640**, filed **June 8, 200**, for **METHOD AND APPARATUS FOR FABRICATING SELF-ASSEMBLING MICROSTRUCTURES** is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Number of pages being transmitted, including this page: 15

Dated: August 27, 2001

  
Ko-Fang Chang**PLEASE CONFIRM RECEIPT OF THIS PAPER BY**  
**RETURN FACSIMILE AT (415) 576-0300**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, CA 94111-3834  
Telephone: (415) 576-0200  
Fax: (415) 576-0300  
PA-3165453

**PATENT**  
18062R-002132US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

John Stephen Smith

Application No.: 09/877,640

Filed: June 8, 2001

For: METHOD AND APPARATUS FOR  
FABRICATING SELF-ASSEMBLING  
MICROSTRUCTURES

Examiner: Unassigned

Art Unit: Unassigned

**SUBSTITUTE PRELIMINARY  
AMENDMENT**

FAX COPY RECEIVED

AUG 27 2001

TECHNOLOGY CENTER 2800

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-referenced application, please do not enter the Preliminary Amendment mailed on June 8, 2001 and enter the present Substitute Preliminary Amendment.

**IN THE SPECIFICATION:**

Please replace the paragraph beginning on page 1, line 4 with the following rewritten paragraph:

--This application is a continuation of U.S. Application Serial No. 09/097,599, filed June 15, 1998, which is a continuation of U.S. Application Serial No. 08/480,500, filed June 7, 1995, now U.S. Patent No. 5,904,545, which is a continuation-in-part of U.S. Application Serial No. 08/169,298, filed December 7, 1993, now U.S. Patent No. 5,545,291, the disclosure of which is hereby incorporated in its entirety for all purposes.

This application is related to U.S. Application Serial No. 08/485,478, filed June 7, 1995, now U.S. Patent No. 5,824,186, which is a continuation-in-part of U.S.